



# A Fast Estimation of SRAM Failure Rate Using Probability Collectives

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# Outline

- Background
- Proposed Algorithm
- Experiments
- Extension Work

# Background

## Variations

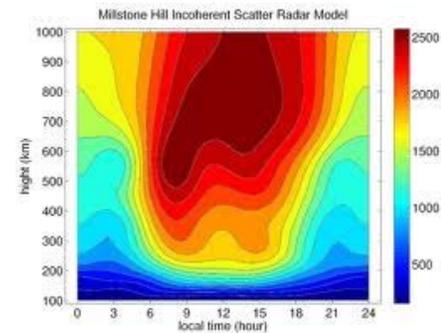
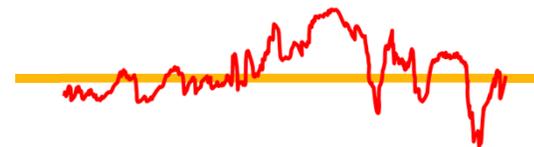
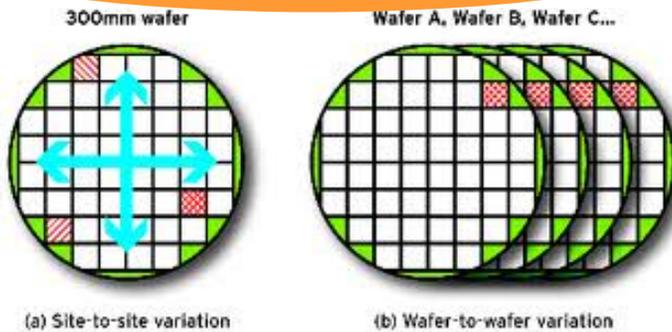
### Static Variation

### Dynamic Variation

### Process Variation

### Voltage Variation

### Temperature Variation



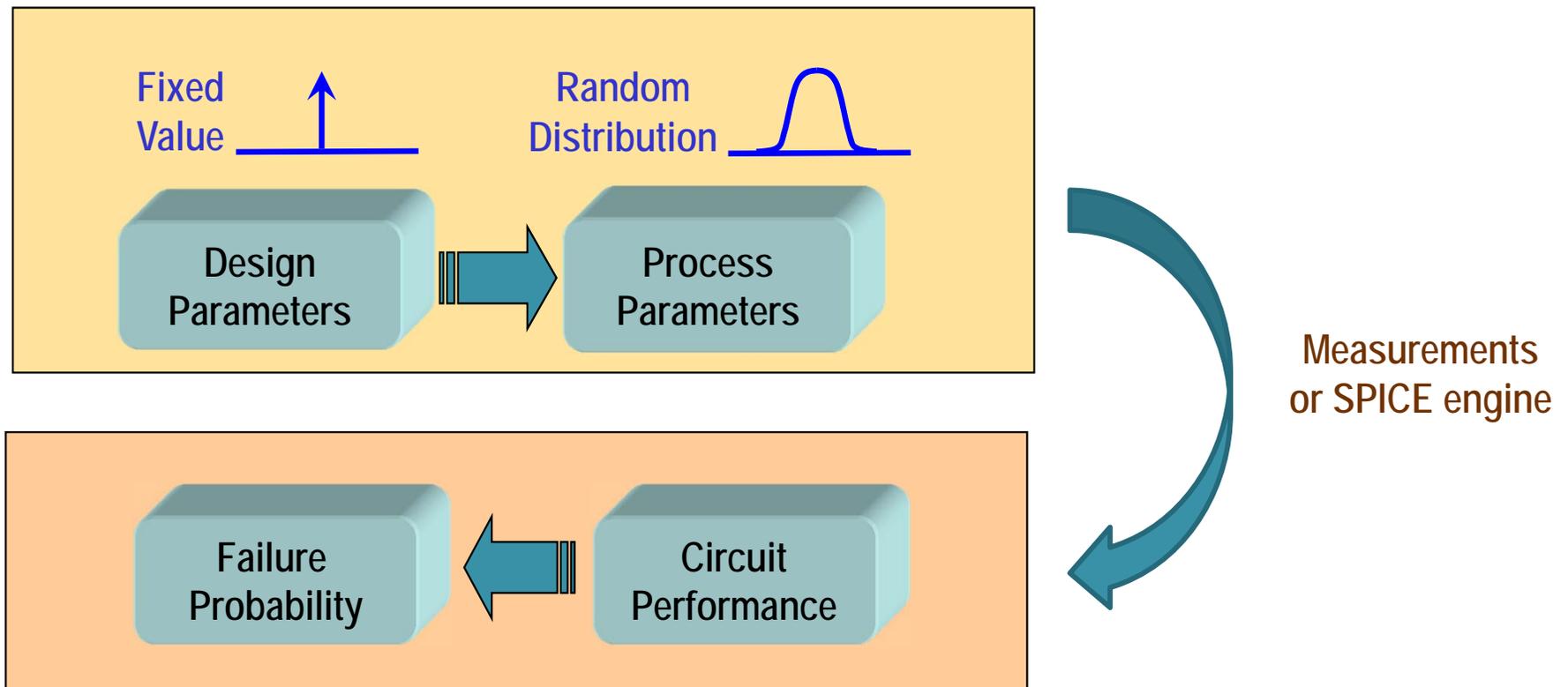
# Rare Failure Event

- Rare failure event exists in highly replicated circuits:
  - SRAM bit-cell, sense amplifier, delay chain and etc.
  - Repeat million times to achieve high capacity.
  - Process variation lead to statistical behavior of these circuits.
- Need extremely low failure probability:
  - Consider 1Mb SRAM array including 1 million bit-cells, and we desire 99% yield for the array\*:
    - →99.999999% yield requirement for bit-cells.
  - Failure probability of SRAM bit-cell should  $< 1e-8!$
  - Circuit failure becomes a “rare event”.

\* Source: Amith Singhee, IEEE transaction on Computer Aided Design (TCAD), Vol. 28, No. 8, August 2009

# Problem Formulation

- **Given Input:**
  - Variable Parameters → probabilistic distributions;
  - Performance constraints;
- **Target Output:**
  - Find the percentage of circuit samples that fail the performance constraints.

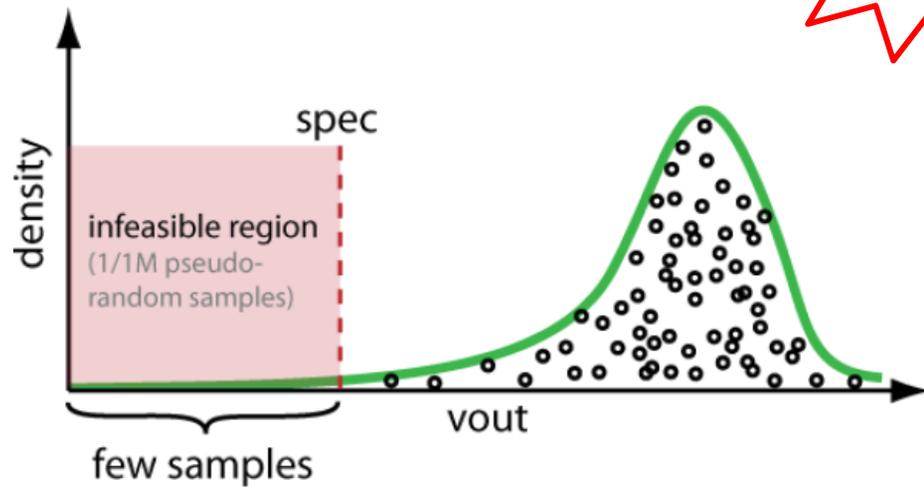


# Monte Carlo Method for Rare Events

- Required time in order to achieve 1% relative error
- Assumes 1000 SPICE simulations per second!

Rare Event Probability	Simulation Runs	Time
1e-3	1e+7	16.7mins
1e-5	1e+9	1.2 days
1e-7	1e+11	116 days
1e-9	1e+13	31.7 years

31.7 years

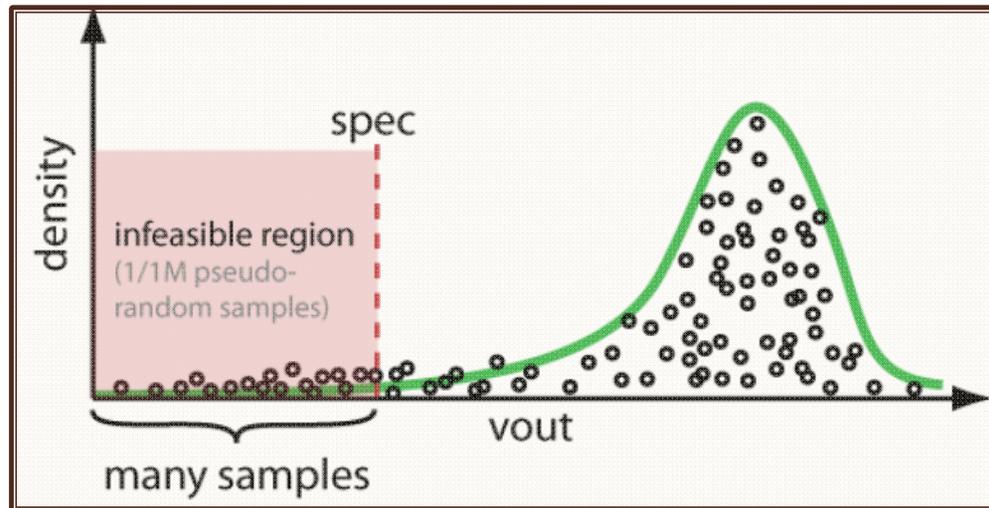


Monte Carlo method for rare events

(Courtesy: Solido Design Automation)

# Importance Sampling

- Basic Idea:
  - Add more samples in the failure or infeasible region.



Importance Sampling Method\*

- How to do so?
  - IS changes the sampling distribution so that rare events become “less-rare”.

# Mathematic Formulation

- Indicator Function

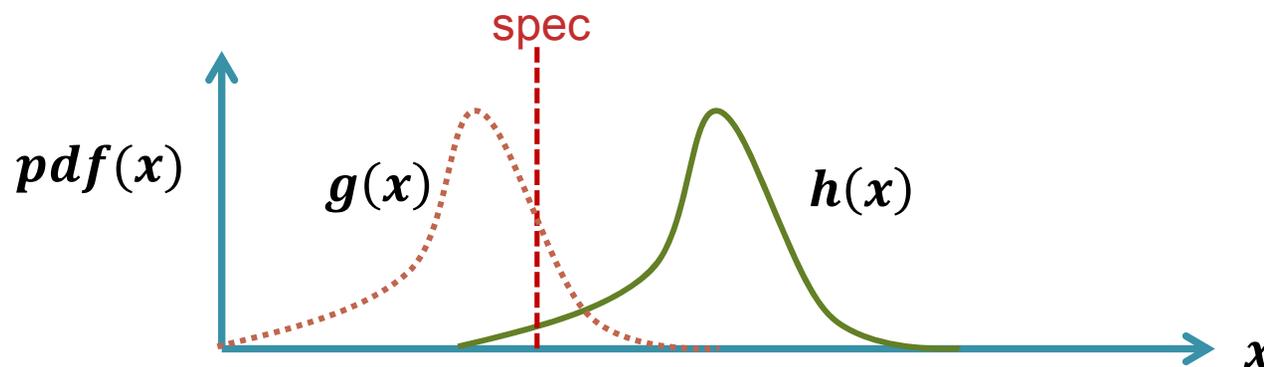


- Probability of rare failure events

- Random variable  $x$  and its PDF  $h(x)$

$$\text{prob}(\text{failure}) = \int I(x) \cdot h(x) dx = \int I(x) \cdot \frac{h(x)}{g(x)} \cdot g(x) dx$$

- Likelihood ratio or weights for each sample of  $x$  is  $\frac{h(x)}{g(x)}$



# Key Problem of Importance Sampling

- Q: How to find the **optimal**  $g(x)$  as the new sampling distribution?
- A: It has been given in the literature but difficult to calculate:

$$g^{opt}(x) = \frac{I(x) \cdot h(x)}{prob(failure)}$$

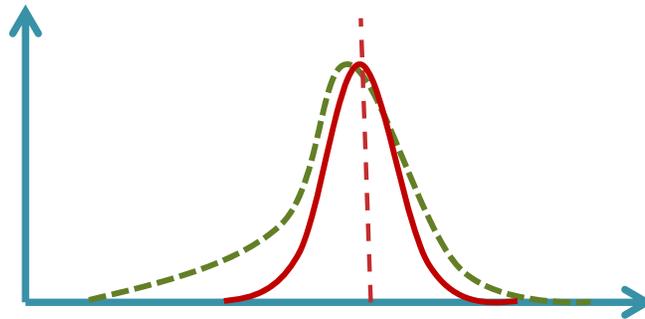
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- **Proposed Algorithm**
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\* Proposed algorithm is based on several techniques. Due to limited time, we only present the overall algorithm in this talk. More details can be found in the paper.

# Basic Idea

- Find one **parameterized distribution** to approximate the theoretical optimal sampling distribution **as close as possible**.



- Modeling of process variations in SRAM cells:
  - VTH variations are typically modeled as **independent Gaussian random variables**;
  - Gaussian distribution can be easily parameterized by:
    - **mean value** → **mean-shift** : move towards failure region.
    - **standard-deviation** → **sigma-change**: concentrate more samples around the failure region.

**parameterized Gaussian distribution** → **the optimal sampling distribution**.

# Find the Optimal Solution

- **Need to solve an optimization problem:**
  - Minimize the distance between the parameterized distribution and the optimal sampling distribution.
- **Three Questions:**
  - What is the objective function?
    - e.g., how to define the distance?
  - How to select the initial solution of parameterized distributions?
  - Any analytic solution to this optimization problem?

# Objective Function

- Kullback-Leibler (KL) Distance

- Defined between any two distributions and measure how “close” they are. → “distance”

$$D_{KL}(g^{opt}(x), h(x)) = E_{g^{opt}} \left[ \log \left( \frac{g^{opt}(x)}{h(x)} \right) \right]$$

- Optimization problem based on KL distance

$$\min E_{g^{opt}} \left[ \log \left( \frac{g^{opt}(x)}{h(x)} \right) \right] \Rightarrow \max E_h \left[ I(x) \cdot \log(h(x)) \right]$$

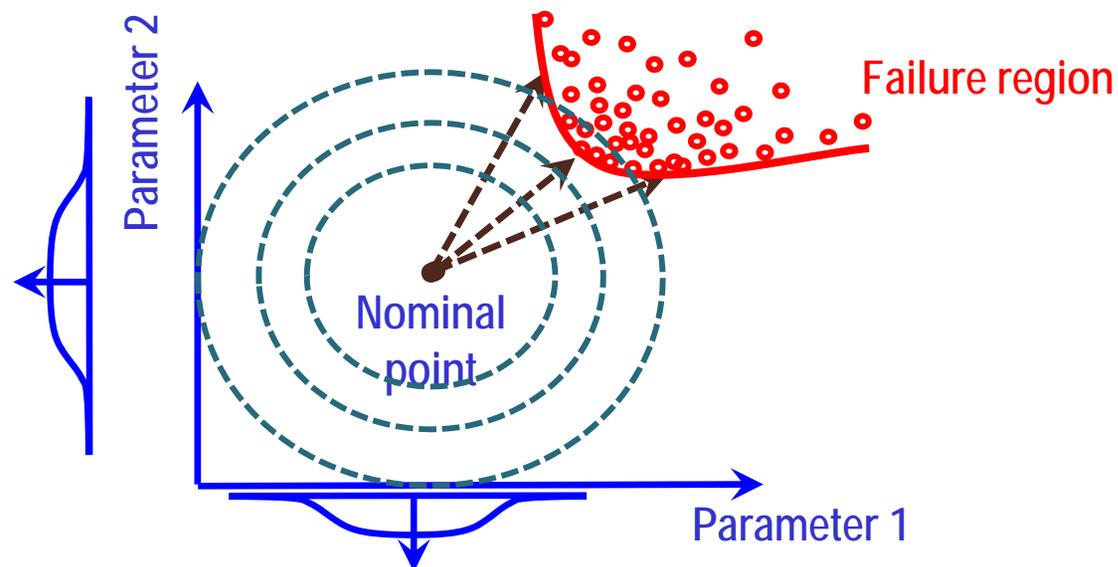
- With the parameterized distribution, this problem becomes:

$$[\mu^*, \sigma^*] = \arg \max E_h \left[ I(x) \cdot w(x, \mu, \sigma) \cdot \log(h(x, \mu, \sigma)) \right]$$

$$\text{where } w(x, \mu, \sigma) = \frac{h(x)}{h(x, \mu, \sigma)}$$

# Initial Parameter Selection

- It is important to choose “initial solution” of mean and std-dev for each parameterized distribution.
- Find the initial parameter based on “norm minimization”
  - The point with “**minimum L2-norm**” is the most-likely location where the failure can happen.
  - The figure shows 2D case but the same technique applies to high-dim problems.



# Analytic Optimization Solution

- Recall that the optimization problem is

$$[\mu^*, \sigma^*] = \arg \max E_h \left[ I(x) \cdot w(x, \mu, \sigma) \cdot \log(h(x, \mu, \sigma)) \right]$$

- $E_h$  cannot be evaluated directly and sampling method must be used:

$$[\mu^*, \sigma^*] = \arg \max \frac{1}{N} \sum_{j=1}^N \left[ I(x_j) \cdot w(x_j, \mu, \sigma) \cdot \log(h(x_j, \mu, \sigma)) \right]$$

- Above problem can be solved analytically:

- $h(x, \mu, \sigma)$  follows Gaussian distribution
- The optimal solution of this problem can be solved by (e.g., mean):

$$\frac{\partial E_h \left[ I(x) \cdot w(x, \mu, \sigma) \cdot \log(h(x, \mu, \sigma)) \right]}{\partial \mu} = 0$$

- Analytic Solution

$$\mu^{(t)} = \frac{\sum_{i=1}^N I(x_i) \cdot w(x_i, \mu^{(t-1)}, \sigma^{(t-1)}) \cdot x_i}{\sum_{i=1}^N I(x_i) \cdot w(x_i, \mu^{(t-1)}, \sigma^{(t-1)})}; \quad \sigma^{(t)} = \sqrt{\frac{\sum_{i=1}^N I(x_i) \cdot w(x_i, \mu^{(t-1)}, \sigma^{(t-1)}) \cdot (x_i - \mu^{(t)})^2}{\sum_{i=1}^N I(x_i) \cdot w(x_i, \mu^{(t-1)}, \sigma^{(t-1)})}}$$

# Overall Algorithm

Input random variables with given distribution  $N(\mu^{(0)}, \sigma^{(0)})$

## Step1: Initial Parameter Selection

- (1) Draw uniform-distributed samples
- (2) Identify failed samples and calculate their L2-norm
- (3) Choose the value of failed sample with minimum L2-norm as the initial  $\mu^{(1)}$ ; set  $\sigma^{(1)}$  as the given  $\sigma^{(0)}$

## Step2: Optimal Parameter Finding

Draw  $N_2$  samples from parameterized distribution  $N(\mu^{(1)}, \sigma^{(1)})$  and set iteration index  $t=2$

Run simulations on  $N_2$  samples and evaluate  $\mu^{(t)}$  and  $\sigma^{(t)}$  analytically

converged?

Yes

Return  $\mu^*$  and  $\sigma^*$

No

Draw  $N_2$  samples from  $N(\mu^{(t)}, \sigma^{(t)})$

# Overall Algorithm (cont.)



## Step3: Failure Probability Estimation

Draw  $N_3$  samples from parameterized distribution  $N(\mu^*, \sigma^*)$



Run simulations on  $N_3$  samples and evaluate indicator function  $I(x)$



Solve for the failure probability  $p_r$  with sampled form as

$$p_r = \frac{1}{N_3} \sum_{i=1}^{N_3} I(x_i) \cdot w(x_i, \mu^*, \sigma^*)$$

where  $w(x_i, \mu^*, \sigma^*) = h(x_i) / h(x_i, \mu^*, \sigma^*)$



Return the failure probability estimation  $p_r$



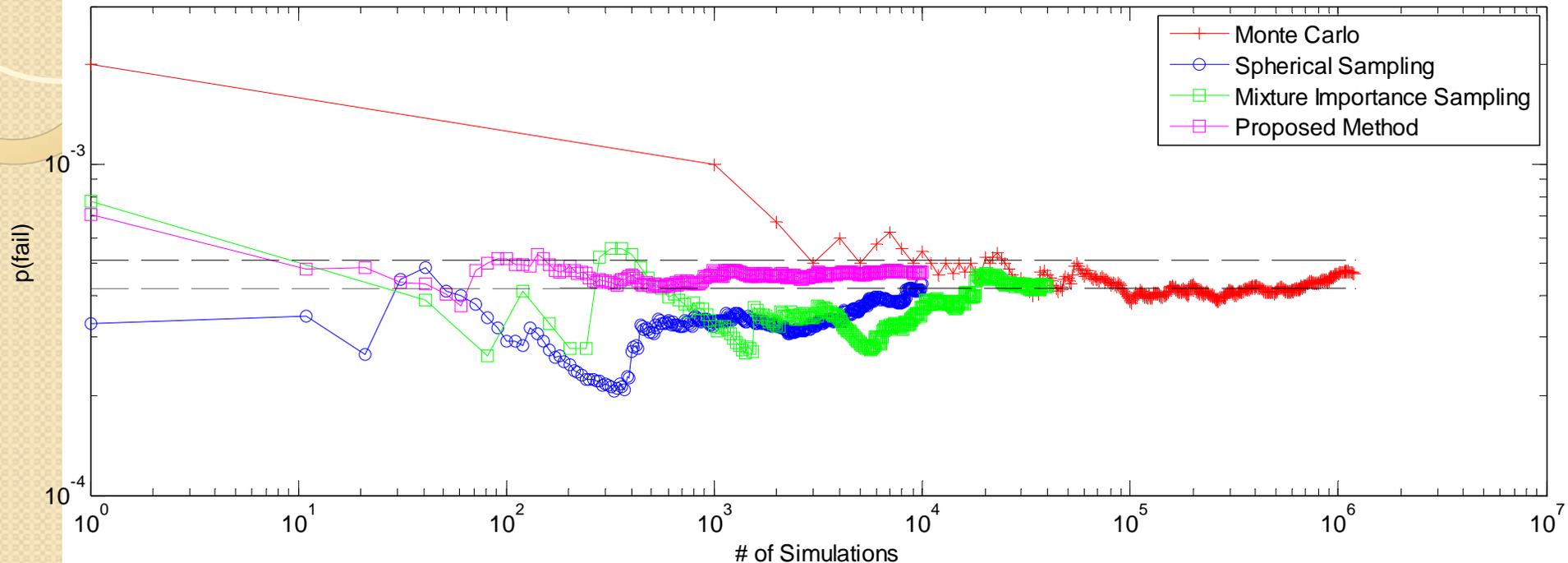
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# Accuracy Comparison (V<sub>dd</sub>=300mV)

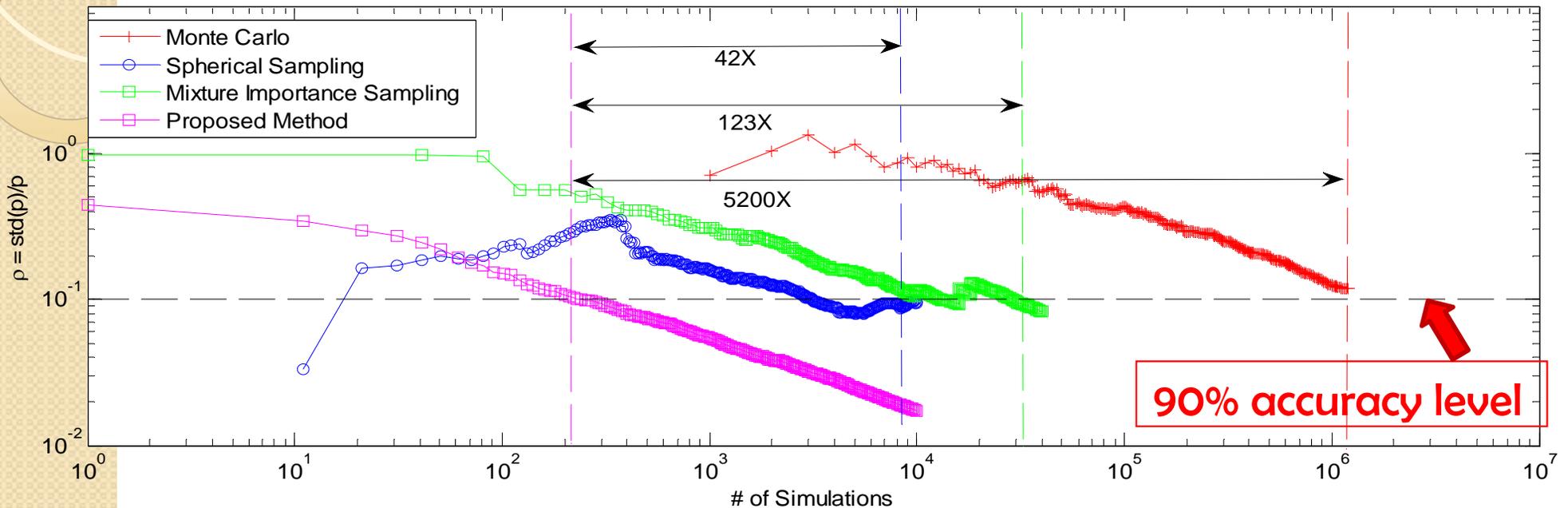
## - Evolution of the failure rate estimation



- Failure rate estimations from all methods can match with MC;
- Proposed method starts with a close estimation to the final result;
- Importance Sampling is highly sensitive to the sampling distribution.

# Efficiency Comparison (Vdd=300mV)

## - Evolution of figure-of-merit (FOM)



- Figure-of-merit is used to quantify the error (lower is better):

$$\rho = \frac{\sigma_{prob\_fail}}{P_{fail}}$$

- Proposed method can improve accuracy with 1E+4 samples as:

	MC	MixIS	SS	Proposed
Probability of failure	5.455E-4	3.681E-4	4.343E-4	4.699E-4
Accuracy	18.71%	88.53%	90.42%	98.2%



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# Problem of Importance Sampling

- Q: How does Importance Sampling behave for high-dimensional problems (e.g., tens or hundreds variables)?
- A: Unreliable or completely wrong!  
→ curse of dimensionality
- Reason: the degeneration of likelihood ratios

$$\mathit{prob}(\mathit{failure}) = \int \mathbf{I}(\mathbf{x}) \cdot \frac{h(\mathbf{x})}{g(\mathbf{x})} \cdot \mathbf{g}(\mathbf{x}) d\mathbf{x} = \int \mathbf{I}(\mathbf{x}) \cdot \mathbf{w}(\mathbf{x}) \cdot \mathbf{h}(\mathbf{x}) d\mathbf{x}$$

- Some likelihood ratios become dominate (e.g., very large when compared with the rest)
- The variance of likelihood ratios are very large.

# Extension Work

- We develop an efficient approach to address rare events estimation in high dimension which is a fundamental problem in multiple disciplines.
- Our proposed method can reliably provide high accuracy:
  - tested problem with 54-dim and 108-dim;
  - probability estimation of rare events can always match with MC method;
  - It provides several order of magnitude speedup over MC while other IS-based methods are completely failed.

Study Case		Monte Carlo (MC)	Spherical Sampling	Proposed Method
108-dim	P(fail)	3.3723e-05	1.684	3.3439e-005
	Relative error	0.097354	0.09154	0.098039
	#run	5.7e+6 (1425X)	4.3e+4	4e+3 (1X)

- We can prove that IS method loses its upper bound of estimation in high-dimension, and estimation from our method can always be bounded.



# Thank you!

Any further questions can be addressed to [fang08@ee.ucla.edu](mailto:fang08@ee.ucla.edu)